



# Detection of spatter signature for streaming data in the laser metal deposition process

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**Abstract:** In recent years, Laser Metal Deposition (LMD) has experienced significant advancements. For process monitoring purposes, in-situ sensors are often used, which tend to produce noisy data, and due to the short processing window, these data need to be automatically analyzed in real-time to ensure their reliability for further processing. A simple Moving Average (MA) is commonly used to reduce signal peaks, which could otherwise skew the statistical properties of the data. Stabilization of the LMD process can be ascribed to the occurrence of spatters, which exhibit concept drift characteristics and are closely related to signal peaks. In this respect, this study aims to differentiate between two types of anomalies in data streams: point anomalies and concept drift, to eliminate the peaks that could cloak the performance in the actual signals during the process. To solve this issue, a two-step approach is being proposed. A differencing method is first applied to identify any potential point outliers, which are then verified to check if these identified observations are indeed peaks resulting from the spatters generation with a density-distance approach. The method's reliability and robustness were tested with overhang structures (3-axis printing) and impeller blade structures (5-axis printing). Results show that the existing method, the Drift Streaming Peaks-Over-Threshold method, is inferior compared to the proposed method in terms of F1-score, despite a decrease in performance as the inclination angle increases. These experiments ascertain the pertinence of the proposed method in processing incoming sensor data of LMD.

**Keywords:** Metal Additive Manufacturing, Reasoning-based, Spatter, Statistical Approach, Streaming

## 1. INTRODUCTION

Laser metal deposition (LMD), also known as Directed Energy Deposition (DED), is one of the common metal additive manufacturing (AM) methods available in the market. LMD projects a concentrated energy beam programmed to follow a predetermined toolpath along the given workspace, leaving a trail of solidified weld beads of a particular geometric shape. The intense heat coming from the energy beam, including laser or electron, onto the metal feedstock material in the form of powder or wire, coupled with the substrate, creates a locally liquified melt-pool morphology instantaneously surrounding the vicinity with a rapid solidification process. Unlike traditional manufacturing methods, LMD is capable of freeform fabrication of complex geometries without requiring any support. However, the process often needs consistent and focused energy density in geometrical

control because continual irregular powder mass delivery and laser defocusing can cause inconsistency in the build height development [1]. Additionally, the process also involves a multitude of process parameters that directly influence the geometrical and microstructural properties of the finished product. Indeed, it is expected to have occasional defects in the finished products, including a bumpy texture, insufficient deposition, or excessive deposition [2]. One of the methods to improve the quality of an LMD process is via reliable and robust in-situ measurement process and control. The most common in-situ process monitoring is the direct measurement of geometrical characteristics, such as clad height, by obtaining a visual image data using a charge-coupled device (CCD) camera or complementary metal-oxide semiconductor (CMOS) camera [3]. However, monitoring sensors of the deposition process commonly give noisy time-series data, and since the deposition process occurs



rapidly, interpretation of these data and subsequent control of the deposition process can be challenging.

An increasing amount of literature emphasizes the significance of identifying defects or outliers in the field of metal additive manufacturing (AM). Ren et al. [4] adopted an LSTM-Autoencoder and a K-means clustering to provide early defect detection based on quality classification under different conditions of laser power, printing speed, and powder feed rate. Zhang et al. [5] also used LSTM, but to predict melt pool time-series temperature during LMD, as the melt pool temperature affects the microstructure of the finished part. On the other hand, Reisch et al. [6] proposed an approach for anomaly detection in multivariate data streams based on the error distance. These errors are then fed to a Mahalanobis distance-based method to generate anomaly scores.

The success yardstick of the monitoring and control model lies in its generalization in capturing intrinsic characteristics of the data. Bartsch et al. [7] asserted that the generalization of a model requires a satisfactory volume of high-quality data, which are representative of the problem, and consisting of only a few outliers and small noise. This manifest in the ability of the model to comprehensively describe the data, in terms of their descriptive patterns and their well-defined characteristics. Outlier detection aims to identify specific data points that significantly deviate from the rest of the dataset, arising from distinct underlying mechanisms. Various types of outliers possess unique statistical characteristics that deviate from normal behaviour, and they might have emerged abruptly or evolved gradually over time. Introducing data containing outliers to a model can have detrimental effects on the model's performance and estimated parameters. Thence, identifying and addressing outliers form a crucial aspect of time series analysis prior to modelling.

Although there are quite a number of methods for detecting peaks in the streaming data application, the reliability of those existing methods is not applicable to the LMD process that presents the non-stationary in the time series data, i.e., noises with process shifts as a new normal. In this case, when a sudden drift develops during the LMD process, it signifies the process is unstable due to a multitude of complex interactions, sensitive to the environment and improper process parameter combinations, thus leading to poor quality. This meaningful information must be retained for downstream analysis, such as characterizing the types of defects based on the features of the signals when process shifts appear. However, to date, existing methods may be overly resistant to these changes, slowly updating their parameters as concept drift appears. Therefore, the central contributions of this study are as the following:

- First, this paper describes the design and implementation of the proposed statistical

framework that enables the detection and differentiation between sudden anomalies and drifts in one-dimensional data streams. It is designed explicitly for the LMD process, particularly in industrial practices in both 3-axis and 5-axis printing modes, with the spatter signature—an inextricably linked phenomenon.

- Second, in testing the approach, twenty-seven different unique combinations of parameters of the proposed method were tested to obtain robust and optimal parameters in different scenarios, including both 3-axis and 5-axis printing modes to address spatter signature effectively—the noise that often interferes with the data—ensuring more accurate and reliable analyses, and
- Third, in numerous industrial instances, detecting abnormalities can be a challenging task. Nevertheless, a recent study highly advocates the use of the Moving Average (MA) coupled with the three-sigma limits (lower and upper limits) approach to classify point anomalies as the actual facts precisely. This method is crucial in resolving the issue and should be put into operation promptly.

The structure of the paper is drafted as follows. Following the introduction to the work in Section 1, Section 2 provides an overview of related works in the area of spatters, concept drift and its detection. The proposed framework is given in Section 3. This includes the proposed outlier detection method as well as the ground truth labelling. Section 4 outlines the experimental setups, as well as results and discussions. The final section concludes the paper.

## 2. RELATED WORK

### A. Spatters and their effects

One of the process-induced defects results from the complex interaction with different mediums, including the selected energy beam, metal feedstock material, and the prior layers, is spatters [8]. Spatters may have a considerable impact on the stability of the deposition process despite being a common phenomenon in LMD. In simple terms, spatter is the expulsion of powder particles that are either melted or unmelted from the melt pool. The purpose of this is to reduce the surface energy. Khairallah et al. [9] provide a comprehensive explanation on the characteristics of the spatter formation in metal AM, which is further investigated by another study that elucidated different sets of process parameters that influence its frequency [10]. Spatter forms when the temperature gradients between the centre and the vicinity of the melt pool are too high due to the higher energy density, i.e., overheating. This higher energy density causes localized boiling on a specific portion of the melt pool, forming a droplet that eventually bursts with an

upwards momentum and spread across the surrounding vicinity including the build-part and laser head. When the laser passes by the large spatters settling on the surface of the build-part, it causes insufficient energy density to sufficiently melt the incoming powder and large spatters on the surface simultaneously, contributing to disjointed and sparser solidification [11]. Consequently, the creation of spatters is detrimental to the stability of the process and can result in a myriad of issues, including substandard quality in each layer, porosities, and internal cracks [12].

According to Hauser et al. [13], there is a strong correlation between spatters and various process parameters. To thoroughly examine this relationship, the study used multi-modal data to acquire image and sound intensity through vision-based and acoustic sensors. The research found that choosing incorrect process parameters can destabilize the process. These errors can ultimately result in significant deviations in the shape of the built layer, which may further cause surface geometry fluctuations and variations in nozzle-to-work distance. These factors can ultimately impact the laser-powder dynamics, leading to more instances of spattering—causing an unstable process, such as spikes in the signals. Additionally, prolonged spatters can lead to concept drift during an unstable process. Despite this, all unstable processes exhibit comparable behaviour in the AE, making distinguishing between them challenging in LMD.

Yang et al. [12] preprocessed the image data to section the melt pool, spatters, and its vicinity represented by pixels in an image. Based on those pixels, they plotted the time series data to monitor the deposition process using the control chart, i.e., Shewhart. The violations of the process stability are indicative of anomalies that might have been incurred during the process. The authors used a moving average to reduce large values that may distort the estimation and smooth out the data points.

In their study, Repossini et al. [14] created a method for analyzing the spattering behaviour during the laser-metal AM process. This method involved measuring the spatters' characteristics, including area, quantity, and disperseness, to distinguish between under-, normal, and over-melting conditions. The authors suggested that spattering behaviour could be used alongside other known quantities of the melt pool, such as its geometric morphology and temperature, to improve the monitoring process. Similar studies in the field of laser welding [15-17] have verified that spattering behaviour is one of the critical constituents to characterize the deposition process quality. While there are differences between laser metal AM and laser welding, these studies offer useful insights into characterizing and quantifying spattering behaviour using in-situ signal analysis.

It has been suggested by Chen et al. [18] that complex features can aggravate the frequency of spatters by causing overheating, ultimately leading to more intense spattering. Others have indicated that high laser energy

input may also lead to more spattering [13, 18] and larger spatters [12, 18]. Also, Chen et al. [18] utilized optical tomography (OT) as an online thermal monitoring tool to label ground truth. Through the analysis of OT images, they were able to infer the effect of layer profiles based on the spatters' frequency produced during the process. The OT images were partitioned into three distinct areas: the section exposed to laser radiation, the region affected by spattering, and the zone unaffected by either laser radiation or spattering. Further analysis compared the frequency and distribution of these regions.

### B. Concept Drift

Data streams are real-time and continuous flows of data, with non-stationary distribution, i.e., distributions may change over time. Unbounded instances of data cannot just be stored all at once in the memory for real-time processing due to computational resource constraints, and this makes it challenging to detect changes in data distribution. However, the summary of these instances can be stored [19]. Another challenge is the speed at which these instances arrive from a stream, which can quickly devour available resources including computational memory. Thus, it is recommended that stream mining algorithms prioritize speed and efficiency by using only a small batch of samples [20].

Concept drift may be defined as a statistical change in observations/distributions. Specifically, given a set of data  $S_t = (\mathcal{X}_1, \dots, \mathcal{X}_n)$  at a time step  $t$ , whereby each sample  $\mathcal{X}_i = (x_{i,1}, \dots, x_{i,n})$  is a feature vector. If two consecutive sets of observations  $S_t$  and  $S_{t+1}$  present a considerable deviation in the distributions, then it can be said that concept drift appears. The coexistence of concept drifts in the streaming data degrades the classifier's performance over time, making it obsolete for the new incoming instances. Due to this reason alone, tracking this concept's change is essential—underpinning the model's reliability and credibility.

Drift can manifest itself in various ways: suddenly, gradually, incrementally, or repeatedly. Sudden drift is marked by an abrupt alteration in distribution with no overlap between the preceding and present concepts. The new concept may also emerge gradually, with the transition between concepts occurring over time before stabilizing and is referred to as gradual drift. Recurring drift refers to the phenomenon of a concept repeating itself over time with either cyclical or non-cyclical behaviour. In contrast, incremental drift occurs when changes in distribution exhibit a stepwise manner but smoothly and continuously over time. Sudden drift, as the name suggests, is more apparent and noticeable compared to the other types of drift.

### C. Outliers and Concept Drift Detection

A change of distribution over time may increase errors in a detection mechanism. As such, the mechanism must be able to trace errors in real time. This research focuses



on the removal of extreme values (noise) whilst considering the drift component of the data. In the literature, multiple outlier detection methods exist in streaming data applications, including sequential analysis-based, similarity and dissimilarity-based, window-based, statistically based and data distribution-based [19].

The most commonly used similarity and dissimilarity-based method is the Drift Detection Method (DDM) [21]. DDM embodies two warning levels of detection based on the set confidence interval, i.e., 95% and 99%, respectively. DDM performs well in detecting sudden and gradual drifts but performs atrociously for an incremental drift. Thus, Baena-García et al. [21] proposed an Early-DDM to overcome this challenge. Sequential analysis-based methods, such as the Page-Hinkley Test (PHT) [22], rely on hypothesis testing where incoming signals are assumed to follow a Gaussian distribution. Any sudden changes in variance, either increase or decrease, would be characterized as abnormal. On the other hand, a window-based approach commonly incorporates two windows that accumulate incoming data to form a small batch of data. Differences in the distributions between these two small batches of data may signal a drift. Rather than utilizing a fixed window size, an adaptive window size can be employed to tailor the window size according to the type of drift. A series of multiple statistical-based testing, such as measurements of central tendency, hypothesis testing, kurtosis, and skewness, can also be incorporated into the window-based approach. Vallim and De Mello [23] incorporated a Fourier transform method to produce power spectrum graphs of two sliding windows, before comparing them to detect statistical differences.

Another popular method is the Drift Streaming Peak-Over-Threshold (DSPOT) [24] method, which is based on the data distribution during the initialization phase and iteratively updates the parameters when peaks are detected. The proposed method was rigorously compared to the DSPOT algorithm, which is widely used as a benchmark owing to its popularity. It is worth noting that the DSPOT algorithm is a modified version of the Peaks-Over-Threshold (POT) method that is specifically designed for detecting anomalous points in real-time. Two variants of the POT method were developed by Siffer et al. [24]: Streaming POT and DSPOT. The former is suited for any stationary distribution, while the latter is more robust to handle process shifts in the streaming data. At the outset, the algorithm requires a calibration step to initialize the threshold (quantile) value  $z_q$  with a fixed risk  $q$  such that  $P(X > z_q) < q$ . The excess over the threshold (quantile)  $Th$  results in a set of peaks  $Y_t \leftarrow (X_i - Th | X_i > Th)$ , with a Generalized Pareto Distribution (GPD) fitted on them to infer  $z_q$ . Ultimately, the algorithm can adapt itself to the evolution of data for streaming that can detect anomalies ( $X_i > z_q$ ) and refine  $z_q$ . The threshold (quantile) value  $z_q$  can be determined as

$$z_q \cong Th + \frac{\hat{\sigma}}{\hat{\gamma}} \left( \left( \frac{qn}{N_t} \right)^{-\hat{\gamma}} - 1 \right) \quad (1)$$

where  $Th$  is a high threshold (quantile),  $n$  is the total samples, and peaks occurrences over  $Th$  is denoted by  $N_t$ . Both parameters  $\hat{\sigma}$  and  $\hat{\gamma}$  are estimated through observations using Grimshaw's trick to attain a single-variable function for solving the two variable optimization problems..

### 3. METHODOLOGY

When detecting outliers in time-series data, it can be difficult to determine what qualifies as abnormal within the dataset, with outliers having the possibility to disrupt the outlier detection method. This is even so in LMD, where time-series sequences of an LMD have unique characteristics that make defining anomalies even more challenging [13]. Studies found in the literature have already acknowledged that peaks present in the signal may affect the stability of the process due to spattering events. These events can indicate process stability, but they may also contain information on drift, which is useful for analyzing layer attributes. Care needs to be taken when removing data which are presumed to be outliers, to ensure that only true point anomalies are eliminated while keeping the meaningful spatters. Differentiating concept drift and noise, including extreme values and point anomalies, is a difficult task. For anomaly detection in AM, the control chart method has been employed by researchers to identify anomalies [25-31]. In the realm of streaming applications, data are in a constant state of flux, posing an even greater challenge to the outlier detection algorithms. Algorithmic models may misidentify noise as concept drift or be excessively resistant to changes. As such, an effective model must maintain a balance of robustness and sensitivity.

#### A. The Proposed Statistical Framework

In this research, an innovative framework for detecting outliers is introduced that is based on a window-based approach with other statistical techniques, which will be further outlined in this section. As new data streams arrive at the start of every layer, they are collected in a buffer until the buffer's length matches the predefined window size  $n$ . Outliers within this smaller batch dataset need to be removed before the start of the streaming phase using Median Absolute Deviation (MAD). During the streaming phase, a differencing method is employed to detect potential outliers within the data stream and subsequently, a density-based outlier detection approach is utilized to confirm whether the detected potential outlier is indeed genuine. If a true outlier is identified, a re-computation phase is triggered, to remove the confirmed outlier in the calculation of the differencing method. This is because an outlier can distort and hide the true outlier as a normal value. Fig. 1

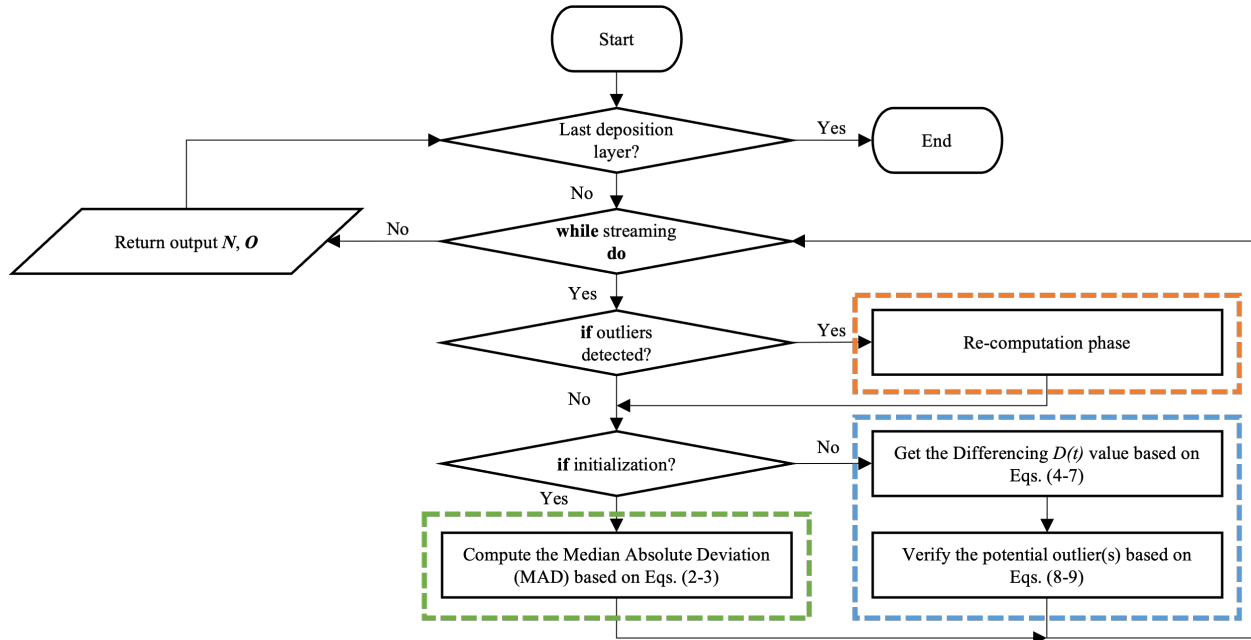


Figure 1. The main process flow of the proposed framework, with dashed lines separating different phases: orange in colour represents the re-computation phase, green in colour represents the batch processing, and blue in colour represents the streaming phase.

depicts a simplified flowchart of the proposed framework.

**Batch Processing phase:** The batch processing phase occurs at the start of every layer. During the phase, data  $x(i)$  are collected in an initial batch  $X_{init}$  until it reaches a predefined window size  $n$  with  $X_{init} = \{x(1), x(2), \dots, x(n)\}$ . Outliers need to be identified in the initial batch  $X_{init}$  to correctly represent the data, and for this, the MAD [32] is used. MAD is a more robust measure of scatteredness in comparison to normal three sigma, which are more sensitive to outliers. Given  $M(\cdot)$  as a function that gives median from its input and constant  $b$  related to the underlying distribution,  $1/Q(0.75)$ , MAD can be calculated as

$$MAD = M(|x(i) - M(x(i) \in X_{init})|) \times b \times \beta, \quad (2)$$

$$x(i) \in X_{init}$$

$\beta$  is a variable defined by the user, with a high  $\beta$  value indicating a stricter criterion, and vice versa. Outliers  $Y_{init}^{outlier}$  in  $X_{init}$  can then be obtained,

$$Y_{init}^{outlier} \leftarrow \{(x(i) < M(x(i) \in X_{init}) - MAD) \vee (x(i) > M(x(i) \in X_{init}) + MAD)\}, \quad (3)$$

$$x(i) \in X_{init}$$

where  $\vee$  is the logical OR operation.

**Streaming Phase:** A window-based approach is proposed for the efficient handling of the streaming data.

Two overlapping sliding windows,  $w_0(t)$  and  $w_1(t)$ , as shown in Fig. 2, that differ by one time step are proposed; combinations of which are divided into the detector, counter, and confirmation/verification zones.  $w(t)$  indicates the combination of both windows  $w_0(t)$  and  $w_1(t)$  whilst  $w_c(t)$  indicates the count zone of data  $x(t)$ .

In the detection zone, new data  $x(t)$  may be earmarked as a potential outlier according to the difference in data distributions between the two windows. Subsequently, earmarked potential outlier  $x_f(t) \equiv x(t)$  is stored in a dictionary  $F$  of potential outliers, i.e.  $x_f(t) \in F$ . In the count zone, the number of succeeding neighbours of the potential outlier  $x_f(t) \in F$  in the outliers dictionary  $F$  is tallied. Succeeding neighbour is defined as successive data of the potential outlier  $x_f(t)$  within the count zone  $w_c(t)$ , i.e.  $x(i) \in w_c(t)$  with values within  $x_f(t) \pm R$ . Once the potential outlier  $x_f(t) \in F$  enters the verification zone, a decision is made to determine if the potential outlier  $x_f(t)$  is indeed an outlier, with an outlier defined as those having less than  $\tau$

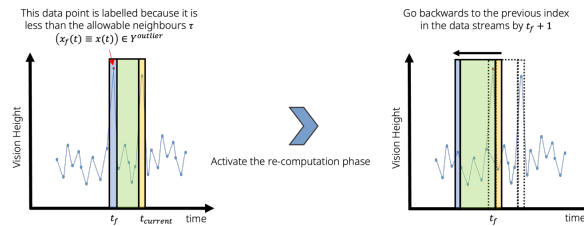


Figure 2. An illustration to show the sliding window  $w$  returns to retrograde by  $t_f + 1$  to allow for the re-computation phase.

succeeding neighbours.

Given a sliding window  $w_i(t)$  at time  $t$ , with  $n$  observations, represented as

$$w_i(t) = \{x(t - (n - 1) - i), x(t - (n - 2) - i), \dots, x(t - i)\}, \quad i = 0, 1 \quad (4)$$

The sliding window  $w_i(t)$  can be characterized using its modified moving average  $mMA_i(t)$ , which can be calculated by excluding data already confirmed as outliers in  $Y^{outlier}$ ,

$$mMA_i(t) = \frac{\sum_{j=0}^{n-1} |x(t - j - i) - b(t)|}{n}, \quad (5)$$

$$x(t - j - i) \ni Y^{outlier}, \quad i = 0, 1$$

where  $b(t)$  is the mean of the combined windows  $w_0(t)$  and  $w_1(t)$  at time  $t$ ,

$$b(t) = \frac{\sum_{j=0}^n x(t-j)}{n}, \quad x(t - j - i) \ni Y^{outlier} \quad (6)$$

The difference  $D(t)$  in modified moving average values between the two windows,  $w_1(t)$  and  $w_0(t)$  can then be easily determined,

$$D(t) = |mMA_1(t) - mMA_0(t)|, \quad (7)$$

$D(t)$  exceeding a pre-determined threshold  $\rho$ , i.e.,  $D(t) > \rho$ , indicates that the point  $x(t)$  needs to be earmarked as a potential outlier  $x_f(t) \equiv x(t)$  and stored in a dictionary  $F$  of potential outliers.

A modified density-based approach is then used to confirm that the potential outlier  $x_f(t)$  is indeed an outlier. In the count zone  $w_c(t)$ , the potential outlier  $x_f(t) \in F$  is assigned horizontal rectilinear boundaries set-apart by a length  $R \in \mathbb{R}^+$ , and these boundaries are used to determine the number of succeeding neighbours  $\tau_f(t)$ , with values within  $\pm R$  range of  $x_f(t)$ . The calculation of the number of succeeding neighbours  $\tau_f(t)$  of potential outlier  $x_f(t) \in F$  is done whilst streaming, with  $\tau_f(t)$  on the arrival of data  $x(i) \in w_c(t)$  determined as

$$\tau_f(t) \leftarrow \tau_f(t) + f(x(i), x_f(t), R), \quad (8)$$

$$x(i) \in w_c(t)$$

where  $f(\cdot)$  is a threshold function defined by

$$f(a, b, c) = \begin{cases} 1 & \text{if } |a - b| \leq c \\ 0 & \text{otherwise} \end{cases} \quad (9)$$

In the verification zone, if the number of succeeding neighbours  $\tau_f(t)$  of potential outlier  $x_f(t) \in F$  is smaller than threshold value  $\tau$ , i.e.  $\tau_f(t) \leq \tau$ , the potential outlier  $x_f(t)$  is confirmed as an outlier, i.e.  $(x_f(t) \equiv x(t)) \in Y^{outlier}$ , and vice-versa.

**Re-computation phase:** In case an outlier has been identified, the process requires re-computation of the difference of mMA values of the data streams. This is because outliers need to be excluded in the calculation of mMA as per equation (5), and the recent confirmation of an outlier necessitates the recalculation of mMA of affected data. Given  $(x_f(t) \equiv x(t)) \in Y^{outlier}$  has been confirmed as an outlier in the streaming phase, the re-computation phase requires re-computation of  $D(t_f + 1)$ , where  $t_f$  is the time index of the recently verified outlier. Similar to the streaming phase,  $D(t_f + i)$  exceeding a pre-determined threshold  $\rho$ , i.e.,  $D(t_f + i) > \rho$ , necessitates the point  $x(t_f + i)$  to be earmarked as a potential outlier, with the number of succeeding neighbours  $\tau_f(t_f + i)$  to be recalculated, before the streaming phase can recommence. Fig. 3 illustrates the activation of the re-computation phase.

An easy-to-understand depiction of this method is presented in Fig. 4, showing snapshots at 4 distinct time intervals, with  $\tau = 3$  and  $n = 5$  set as an example.

### B. Ground Truth labelling

The process of Additive Manufacturing (AM) involves intricate physical phenomena that include heating, melting, and solidification. These events can affect the dynamics of the process, making it challenging to create a precise labelling procedure. Despite advances

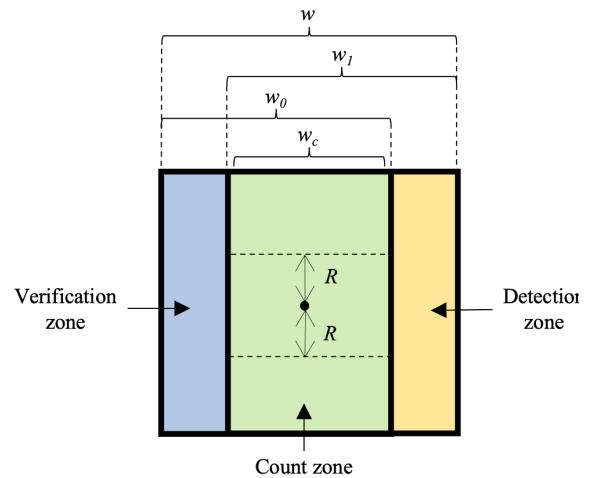


Figure 3. Three different zones of the proposed method consist of the detection zone, count zone  $w_c$  with a distance threshold  $R$ , and verification zone. These three zones are bounded by the two overlapping sliding windows  $w_0$  and  $w_1$ , where  $w_1$  is ahead of the other by 1-time step.

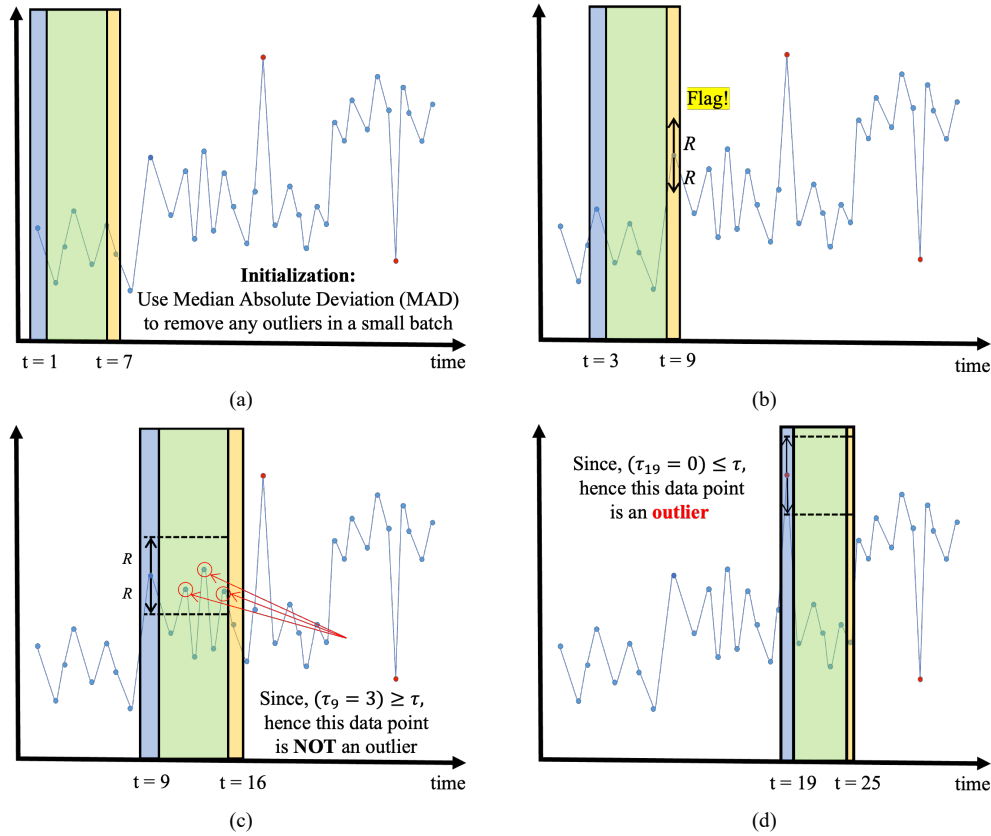


Figure 4. The proposed method can be visualised by looking at four different time intervals, whilst utilizing sliding windows of length 5 and  $\tau = 3$ : a during the batch processing phase, MAD detects outliers in the initial batch  $X_{init}$ ; b during the streaming phase, a potential outlier for a window is detected by the differencing method (e.g.,  $x_9$  at  $t = 9$ ). This occurs at the detection zone; c In the subsequent time steps of the count zone, the density-based method tallies up the neighbours of the potential outlier  $x_9$ , i.e.,  $(\tau_9 = 3) \geq \tau$ . In the verification zone at  $t = 16$ , the proposed method cannot confirm that the potential outlier is an outlier, but instead classifies it as concept drift; d In another scenario, the proposed method confirms that another potential outlier  $x_{19}$  is indeed an outlier, with fewer neighbours than the threshold  $\tau$ .

in metal AM, there is a shortage of methods for ground truth labelling [33], and there is currently no standard for evaluating the quality of the Laser Metal Deposition (LMD) process for labelling purposes [34].

Measuring the quality of a process can be a tedious and expensive task, whether it is done manually by experts [34-36] or through post-processing techniques like CT scans [37, 38], or visual inspections [39], especially when done between build layers [38]. Wu et al. [34] devised a meticulous quality evaluation technique based on the three-sigma approach that classifies quality into four tiers, separated by the frequency of spatters. Subsequently, they have also established a correlation between quality levels and the porosity of the finished parts.

This study employs the three-sigma approach, which serves as ground truth and has been used by the study as mentioned above to pinpoint peaks in order to assess the efficacy of the proposed method. In the realm of outlier detection, Recall, Precision, and F1-Score serve as

prevalent performance metrics for classification models. Recall gauges the classifier's ability to correctly identify the proportion of true outliers in relation to the total number of outliers. Precision measures the proportion of all outlier predictions that are correct. F1-score combines both precision and recall ratios. The equations for Recall, Precision, and F1-score are (10), (11), and (12), respectively.

$$Recall = \frac{TP}{TP + FN} \quad (10)$$

$$Precision = \frac{TP}{TP + FP} \quad (11)$$

$$F1 - score = 2 \times \frac{Precision \times Recall}{Precision + Recall} \quad (12)$$

#### 4. RESULTS AND DISCUSSIONS

Experiments were conducted on a MacBook M1 Pro 2021 with 16 GB RAM, using Python 3.9. The goal of these experiments was to effectively identify and manage the process signature that is characterized by high-frequency spatters and process shift components. To gauge its performance, real-world datasets of tilted structures with various slopes ranging from  $0^\circ$  to  $10^\circ$  layers were utilized. The performance of this method was compared with the DSPOT algorithm. Furthermore, the algorithm was tested in the 5-axis printing mode of the impeller blade structure.

##### A. Experimental setups

Two different experimentations in 3-axis and 5-axis modes were tested using real experimental data generated from the DLMF DMX 01 (Hwacheon Machinery Co., Ltd, South Korea) as depicted in Fig. 5. Inconel 718 alloy powder manufactured by Sandvik with a size range of  $53\text{-}150\ \mu\text{m}$  was used for this experiment. A dual vision-based sensing approach based on CMOS camera

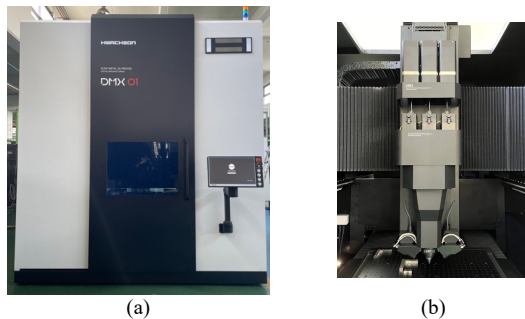


Figure 5. DLMF process (Hwacheon Machinery Co., Ltd): a DMX 01 Metal 3D printer, and b DMX 01 deposition head.

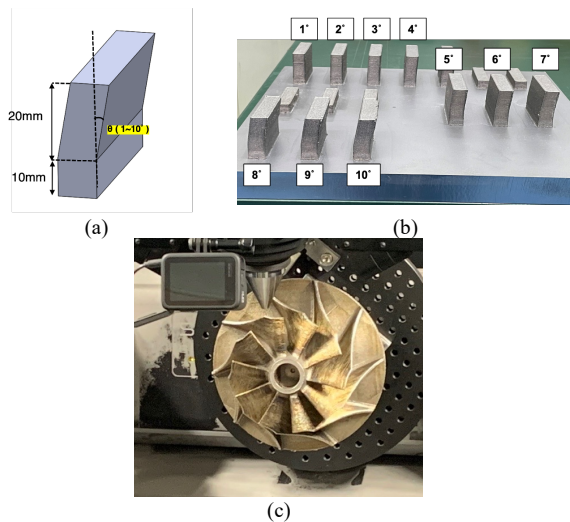


Figure 6. Experimental datasets: (a) The CAD model of the overhang structure; (b) The physical artefacts of the overhang structures printed in a 3-axis mode; (c) The physical artefact of the impeller blade structure printed in a 5-axis mode.

sensors was integrated with the DLMF DMX 01, designed with the purpose of acquiring in-situ monitoring of the melt pool height. The details of this sensing technology are built based on the US patent of US7423236B2 [40]. In the 3-axis mode, different overhang structures with different inclination angles from  $0^\circ$  to  $10^\circ$  were constructed. The structures were first constructed with an incline angle of  $0^\circ$  up to 10mm in height, after which, a slope of different inclination angles was constructed. The total height of each structure is 30 mm. Overall, there are ten independent overhang structures fabricated. Fig. 6a and Fig. 6b show the CAD model and the results of the fabricated overhang structures, respectively. In the 5-axis mode, the impeller blade structure with ten blades and 180 layers, was printed that requires no overhang support as illustrated in Fig. 6c. The process parameters include a fixed z-increment of 0.25 mm, a scanning speed of 850 mm/min, a powder feed rate of 4.5 g/min, and a coaxial gas flow rate of 6.8 l/min. Meanwhile, the laser power was adjusted based on the closed-loop control strategy according to the current melt-pool height. The filling deposition pattern is zigzag with a tool spacing of 0.5 mm.

##### B. Effect of differencing threshold $\rho$ and linear horizontal boundaries $R$

Nine out of 27 parameter sets were investigated with the purpose of demonstrating the effects of varying threshold  $\rho$  and  $R$  values, as tabulated in Table I. Decreasing the  $\rho$  value increases recall value. This is because lowering the  $\rho$  value increases the detection of potential outliers, thus the more sensitive it gets in detecting the shift in the distributions between the two sliding windows. However, an increase in the number of detected potential outliers also increases computation time in the subsequent verification step. Hence, a compromise between the two metrics (recall and elapsed time) is governed by the proposed framework's forgetting mechanism, which activates the algorithm to backtrack to the detected outlier index  $f + 1$  for a recomputation excluding the recently detected outlier. Despite the lower  $\rho$  value capable of detecting a high proportion of the true outliers, precision is low as most of the detected outliers are not actual outliers, i.e., high false positives. Since real-time streaming applications require fast computation time, it is necessary to find a balance between the computation time and the overall performance (F1-Score).

##### C. Method comparison with overhang structure (3-axis printing mode)

Our method was tested against DSPOT for detecting outliers in real-world overhang structure data, while accounting for concept drift. During the calibration step of the DSPOT algorithm, a large batch of samples (200 samples) was required to obtain  $z_q$ , which the inference relies strongly on the excess over a threshold  $t$  (high empirical quantile, i.e., 95%) values that follow a Generalized Pareto distribution (GPD).



TABLE I. INFLUENCE OF THE DIFFERENCING THRESHOLD  $\rho$  AND R ( $\tau = 3, w = 20$ ) TO THE PERFORMANCE METRICS AND COMPUTATION TIME. NOTE THAT THIS SENSITIVITY ANALYSIS WAS TESTED ON THE  $10^\circ$  OVERHANG STRUCTURE DATASET (WORST CASE).

Variables	Recall	Precision	F1-Score	Average Elapsed time (s)
$\rho = 3, R = 50$	0.878	0.412	0.561	$6.559 \pm 2.324$
$\rho = 3, R = 75$	0.837	0.541	0.657	$4.284 \pm 1.652$
$\rho = 3, R = 100$	0.798	0.658	0.721	$2.973 \pm 1.206$
$\rho = 5, R = 50$	0.872	0.592	0.705	$4.042 \pm 1.535$
$\rho = 5, R = 75$	0.831	0.658	0.734	$3.114 \pm 1.250$
$\rho = 5, R = 100$	0.792	0.728	0.759	$2.392 \pm 0.992$
$\rho = 7, R = 50$	0.829	0.809	0.819	$2.291 \pm 1.015$
$\rho = 7, R = 75$	0.795	0.839	0.816	$1.918 \pm 0.880$
$\rho = 7, R = 100$	0.765	0.860	0.810	$1.678 \pm 0.774$

A comparison group comprising 27 sets of parameters ( $\rho, R, \tau$ ) combinations of the proposed method with a fixed size window of  $w = 20$  alongside the DSPOT algorithm, was analyzed based on their performance metrics, recall, precision, and f1-score, as depicted in Fig. 7, 8, and 9, respectively. As mentioned previously, the stability of the LMD process may degrade as the inclination angle increases. This stability can be represented by the spatters formation that results in high peaks of clad height signals. Therefore, finding robust parameters suitable for this volatile incoming streaming data is essential. Fig. 7 shows that the DSPOT method is the most stable in terms of recall in which the classifier detects most of the actual outliers, however, suffers

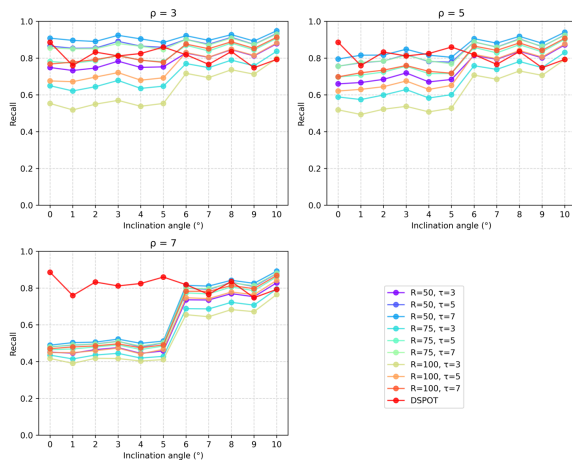


Figure 9. The performance metrics (Recall) between the proposed method with different parameter combinations and DSPOT as a comparison method, with a fixed window size of 20 and different differentiating threshold  $\rho$ .

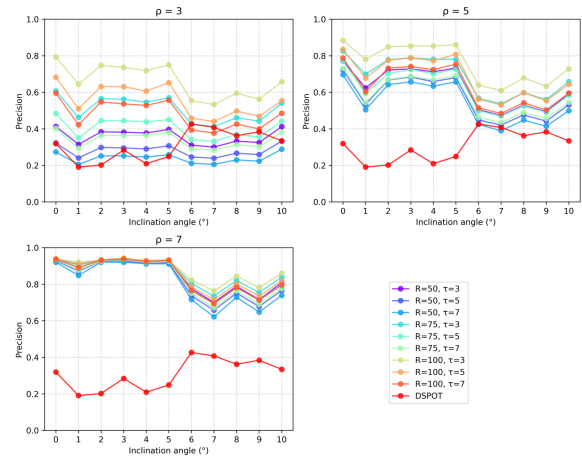


Figure 8. The performance metrics (Precision) between the proposed method with different parameter combinations and DSPOT as a comparison method, with a fixed window size of 20 and different differentiating threshold  $\rho$ .

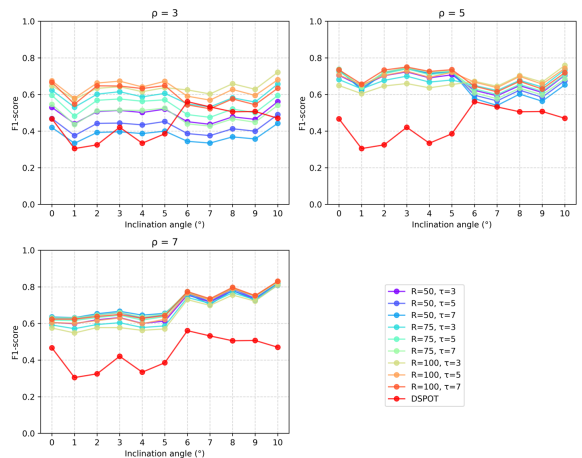


Figure 7. The performance metrics (f1-score) between the proposed method with different parameter combinations and DSPOT as a comparison method, with a fixed window size of 20 and different differentiating threshold  $\rho$ .

greatly in terms of precision, where the numbers of the detected outliers are not actually true, as shown in Fig. 8. Especially, with the least sensitive differentiating threshold  $\rho = 7$ , the proposed method performs exceptionally well against the benchmark method, i.e., DSPOT, in detecting the point anomalies.

From the results in Fig. 9, it is apparent that the proposed method with the differentiating threshold  $\rho = 5$ , provides the most stable f1-score (less volatile), over the range of tested inclination angles. What is striking about the results in Fig. 8 and Fig. 9 is that this particular set of parameters is better than the benchmark in terms of precision and f1-score over the range of inclination angles. Although the DSPOT method gives slightly higher recall results than the proposed method with the particular set of parameters in the case of  $0^\circ$  to  $5^\circ$  inclination angles, the precision of the proposed method outperforms the

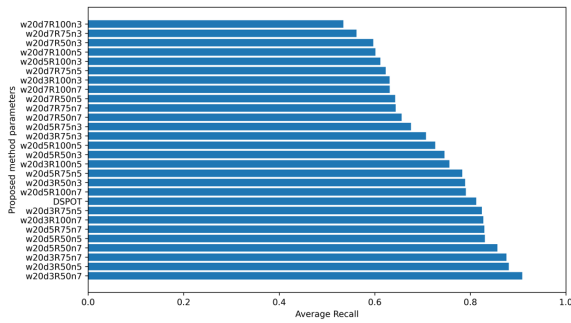


Figure 10. Average recall values of all parameters, sorted in descending order (The highest value is at the bottom, and the lowest value is at the top).

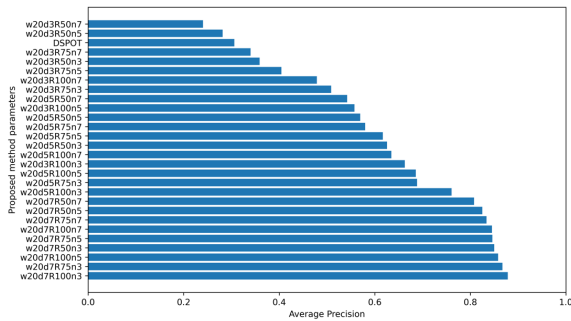


Figure 11. Average precision values of all parameters, sorted in descending order (the highest value is at the bottom, and the lowest value is at the top).

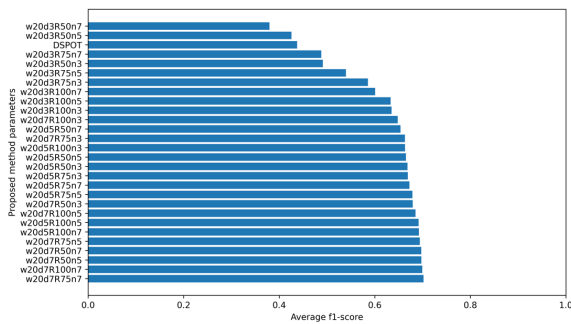


Figure 12. Average f1-score values of all parameters, sorted in descending order (The highest value is at the bottom, and the lowest value is at the top).

DSPOT method. Retrospective to the aim of outlier detection defined in this study is to locate and eliminate peaks in the streaming data that are regarded as noise from spatters generation. As a result, precision takes precedence over recall. It is vital to accurately remove this noise while preserving the significant spatters that result in process shifts in the streaming data as an indicator for subsequent analysis, such as anomaly detection. Moreover, in the  $0^\circ$  structure, the parameter of  $\rho = 5, R = 75$  and,  $\tau = 7$  yields the highest f1-score of 0.738, with a recall of 0.756 and a precision of 0.731. In the  $10^\circ$  structure, the parameter of  $\rho = 7, R = 100$  and,  $\tau = 7$

yields the highest f1-score of 0.830, with a recall of 0.869 and a precision of 0.795.

Furthermore, the results obtained from averaging the relevant metrics values of all inclination angles were summarized in Fig. 10, 11, and 12, respectively. These Fig. rank the proposed method with different parameter combinations and the DSPOT method in descending order (top to bottom) in terms of performance. From Fig. 10, it can be seen that the DSPOT method sits nearly at the bottom, exhibiting its higher recall in detecting most of the actual outliers. At the same time, the proposed method still performs better than the DSPOT with the set of parameters of  $\rho = 3, R = 50$  and,  $\tau = 7$ , attaining the highest recall value. In addition, Fig. 11 and 12 are quite revealing in several ways. First, it demonstrates ostentatiously that, in terms of precision and f1-score, the DSPOT method underperforms the proposed framework. The highest average precision is from the proposed method with a set of parameters of  $\rho = 7, R = 100$  and,  $\tau = 3$ . Finally, a set of parameters of  $\rho = 7, R = 75$  and,  $\tau = 7$  appears to be the most robust in terms of the overall balance between recall and precision of different inclination angles, including the worst-case scenario ( $10^\circ$ ), and henceforth, this set of parameters was utilized.

Fig. 13 presents the two methods' layerwise analysis of the time-series clad height signals alongside the outcomes of these figures are depicted in the form of a confusion matrix, with Table II summarizing the performance scores of our and benchmark methods. It can be seen the time-series data of a  $10^\circ$  inclination angle has many extreme values (point anomalies) and is more prone to concept drift compared to  $0^\circ$ . This difference in the occurrence of high peaks shows that fabricating complex structures without support intensifies the spatter formation.

The emergence of concept drift is more pronounced at the layer with a  $10^\circ$  inclination angle, i.e., near the start and end of the signal. The DSPOT method performs poorly at the layer with a  $10^\circ$  inclination angle because, during the calibration step, there are too many extreme values; thus, it sets the  $z_q$  to be too high. Due to this miscalibration, the upper threshold was set way too high

TABLE II. THE PERFORMANCE METRICS LAYERWISE COMPARISON RESULTS BETWEEN THE PROPOSED METHOD ( $\rho = 7, R = 75, \tau = 7, w = 20$ ) AND THE DSPOT METHOD.

Slope	Method	Recal l	Precision	F1- Score	Elapsed Time (s)
$0^\circ$	<b>Proposed Method</b>	0.574	0.938	0.712	0.798 ± 0.434
	DSPOT	0.886	0.347	0.499	4.300 ± 4.065
$10^\circ$	<b>Proposed Method</b>	0.880	0.776	0.825	2.744 ± 1.191
	DSPOT	0.793	0.334	0.470	2.806 ± 4.437

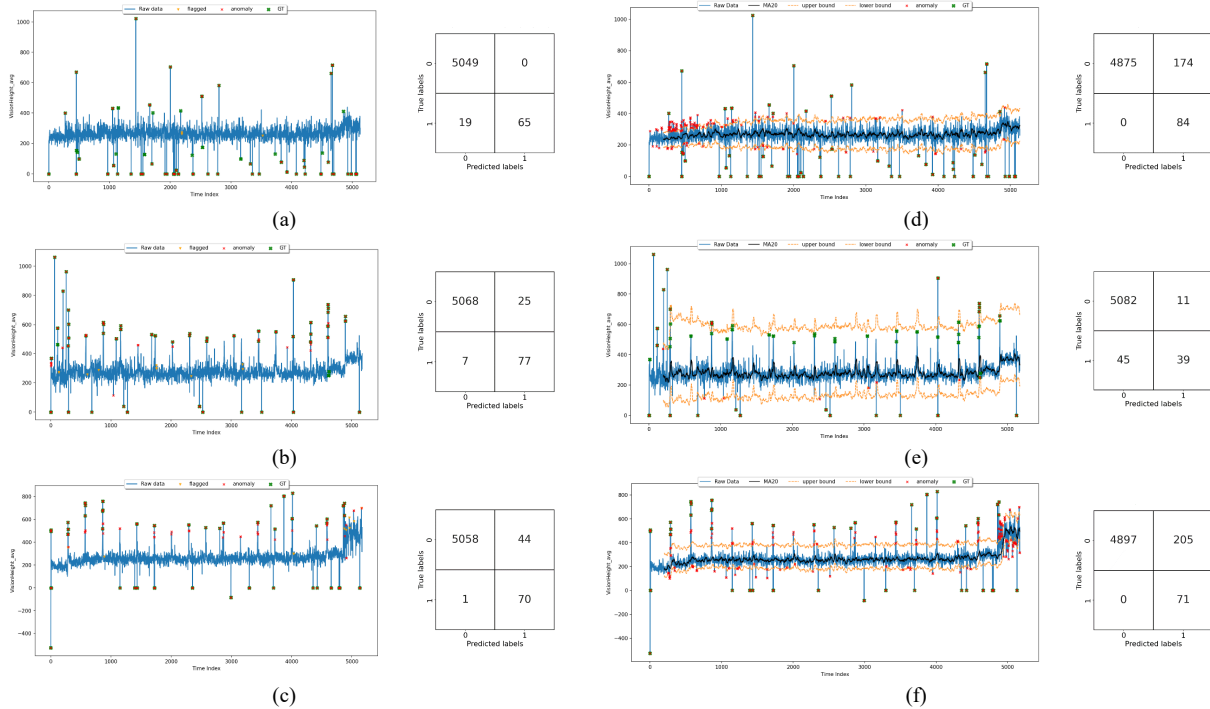


Figure 13. The visualization of the time-series of clad height and its corresponding confusion matrix at three different layers of the 3-axis overhang dataset by two different methods: (a-c) Differencing, ( $\rho = 7, R = 75, \tau = 7, w = 20$ ), show a rendering of raw signal (blue), point ground truth (green), point flagged (orange), and point anomaly (red); (e-f) DSPOT, ( $q = 0.001, N_{init} = 200, w = 20$ ), show a rendering of raw signal (blue), moving average (black), lower and upper bounds (orange), point ground truth (green), and point anomaly (red); at layer 2 ( $0^\circ$ ), layer 63 ( $10^\circ$ ), and layer 69 ( $10^\circ$ ), respectively.

and despite gradually diminishing, it persistently settled above the remaining peaks, leading to missing out on many true outliers, as shown in Fig. 13e. On the other hand, if extreme values are less;  $z_q$  is set too low, resulting in lower upper and lower thresholds (orange dashed lines) as shown in Fig. 13d. Due to this, the DSPOT method misclassified many false positive outliers in the initial phase of the layer build process. Fig. 13f shows that the DSPOT algorithm has trouble adapting to the concept drift in the initial and end phases due to changes in mean value. Thus, it detects the normal signals as false positive outliers. On the other hand, the proposed method handles this problem well. Even with concept drift, the proposed method flags the point shift as a potential outlier, with the verification zone confirming otherwise.

In addition, in cases where the benchmark technique detects multiple peaks in the streaming data, it must perform a recalculation of sigma and gamma using Grimshaw's trick to achieve a numerical root finding. As a result, the optimization problem of DSPOT takes longer to complete than our proposed forgetting mechanism. Table II showcases the elapsed time required for our method with a  $0^\circ$  inclination angle using the proposed method takes  $0.798 \pm 0.434$  seconds only, while the DSPOT requires  $4.300 \pm 4.065$  seconds. Despite the

computation time for the DSPOT algorithm being comparable with the proposed method for the  $10^\circ$  inclination angle, the f1-score of the DSPOT is too low at 0.470 as it failed to detect most of the true outliers, as compared to 0.825 by the proposed method. Irrespective, the elapsed time for our method is still low with low variance.

Furthermore, Table II displays that the f1-scores of our method are better than the benchmark method at both angles. At  $0^\circ$  inclination angle, our method gave recall, precision, and F1-score values of 0.574, 0.938, and 0.712, respectively, compared to the benchmark method, which gave the values of 0.886, 0.347, and 0.499, respectively. Although the recall value of the benchmark method is higher than the proposed method at  $0^\circ$  inclination angle, the precision value of the proposed method scores a near-perfect 1.0, with fewer false positive outliers, whereas the DSPOT method scores 0.347 in terms of precision. Together these results provide important insights into considering the right balance between recall and precision; detecting the noise (extreme values) is more critical during the data acquisition, as it could conceal the actual performance of the deposition process. Meanwhile, the meaningful spatters' presence in the signals is meant to be kept for further analysis, such as characterizing defects based on the different types of concept drifts in the LMD



process. The signals of the  $10^\circ$  inclination angle show the presence of gradual, incremental, and recurrent concept drifts. Due to this, the performance of the proposed method is decreased, although it remains higher than the benchmark method.

#### D. Method comparison with impeller blade structure (5-axis printing mode)

The proposed method with parameters  $\rho = 7, R = 75$  and,  $\tau = 7$  obtained from analysis of the 3-axis mode and the DSPOT method with parameters  $q = 0.001, N_{init} = 200, w = 20$ , were tested on another real experimental data of the 5-axis printing mode of the impeller blade dataset for comparisons. The results of the experiment comprising all stated metrics, including the average layerwise elapsed time, are summarized in Table III.

The average scores for the entire blades were also computed and compared between the two methods. Ten different blades were segregated for the analysis, and the performance of our method was averaged with recall, precision, and f1-score calculated at 0.964, 0.512, and 0.668, respectively. The average layerwise computation times on the 5-axis are relatively fast, as there are not as many extreme values due to the absence of overhang deposition requirement on the 5-axis printing mode, which is around  $0.0976 \pm 0.336$  seconds. Another reason may be that the printing deposition is lessened as the layer grows. Thus, it eases the printing process for complex structures. However, it is best to note that the 5-axis printing and 3-axis printing are not entirely the same, as the layer thickness varies in the 5-axis mode, which may result in different time-series characteristics of the clad

height signals, as depicted in Fig. 14. In sum, an interesting finding that stands out from the results reported earlier from the 3-axis overhang dataset was our method transcends the benchmark method in all scores approximately by 6%, 134.7%, 116%, and 153% difference, in terms of recall, precision, f1-score, and the average layerwise computation time, respectively.

These unanticipated results can be further explicated based on the layerwise visualization between our and benchmark methods for detecting the point anomalies, as illustrated in Fig. 14. For blade #0 (layer 1), the DSPOT method is found to have difficulty in adapting to the cyclical type of time series—slowly adjusting the lower and upper bounds—resulting in detecting more false positive outliers as compared to our method, from 80 to 26, respectively. This description can be analyzed in Fig. 14a and d. At the same time, detecting these false positive outliers also results in higher computation time in the DSPOT due to Grimshaw's trick calibration. A closer inspection of Fig. 14e shows that the DSPOT method is not stable when the variance of the signals is high leading to higher false positives, thus lower precision. Finally, the most interesting aspect of this 5-axis result is shown in Fig. 14f which shows that the DSPOT method fails to adapt to slow decreasing trend type of drift. One of the apparent limitations of the proposed method is that it may struggle when the signal has a high variance, as shown in Fig. 14(b). Thus, the proposed method misclassified these small peaks as spatter noise. Despite this shortcoming, the proposed method still outperforms the benchmark method, DSPOT.

TABLE III. THE PERFORMANCE METRICS OF THE OVERALL LAYERS OF EACH IMPELLER BLADE WITH THE PROPOSED METHOD ( $\rho = 7, R = 75, \tau = 7, w = 20$ )

Blade #ID	Method	Recall	Precision	F1-Score	Average Elapsed Time (s)
0	Proposed	<b>0.96394</b>	<b>0.49922</b>	<b>0.65778</b>	<b>0.09376 ± 0.29378</b>
	DSPOT	0.90144	0.10563	0.18911	0.78041 ± 1.20691
36	Proposed	<b>0.96695</b>	<b>0.50528</b>	<b>0.66373</b>	<b>0.09627 ± 0.37592</b>
	DSPOT	0.89902	0.10028	0.18043	0.71426 ± 0.95754
72	Proposed	<b>0.96453</b>	<b>0.49515</b>	<b>0.65437</b>	<b>0.09888 ± 0.35032</b>
	DSPOT	0.89184	0.11230	0.19948	0.77470 ± 1.13434
108	Proposed	<b>0.95845</b>	<b>0.50662</b>	<b>0.66286</b>	<b>0.09922 ± 0.34288</b>
	DSPOT	0.88161	0.11243	0.19943	0.75873 ± 1.03328
144	Proposed	<b>0.95970</b>	<b>0.50471</b>	<b>0.66152</b>	<b>0.09002 ± 0.29851</b>
	DSPOT	0.91438	0.11288	0.20096	0.75466 ± 1.2959
180	Proposed	<b>0.96901</b>	<b>0.51784</b>	<b>0.67498</b>	<b>0.09441 ± 0.28228</b>
	DSPOT	0.89583	0.10253	0.18400	0.77104 ± 1.39730
216	Proposed	<b>0.97705</b>	<b>0.63986</b>	<b>0.77330</b>	<b>0.10441 ± 0.43713</b>
	DSPOT	0.94184	0.21801	0.35406	0.71570 ± 1.08659
252	Proposed	<b>0.96376</b>	<b>0.47839</b>	<b>0.63940</b>	<b>0.09754 ± 0.33639</b>
	DSPOT	0.89772	0.10940	0.19504	0.70409 ± 1.14212
288	Proposed	<b>0.95380</b>	<b>0.48340</b>	<b>0.64162</b>	<b>0.10213 ± 0.31208</b>
	DSPOT	0.89883	0.12495	0.21940	0.75548 ± 1.17494
324	Proposed	<b>0.96679</b>	<b>0.48660</b>	<b>0.64737</b>	<b>0.09898 ± 0.32944</b>
	DSPOT	0.91040	0.09491	0.17190	0.74331 ± 1.08522
Average	Proposed	<b>0.96440</b>	<b>0.51171</b>	<b>0.66769</b>	<b>0.09756 ± 0.33587</b>
	DSPOT	0.90329	0.11933	0.20938	0.74724 ± 1.15141

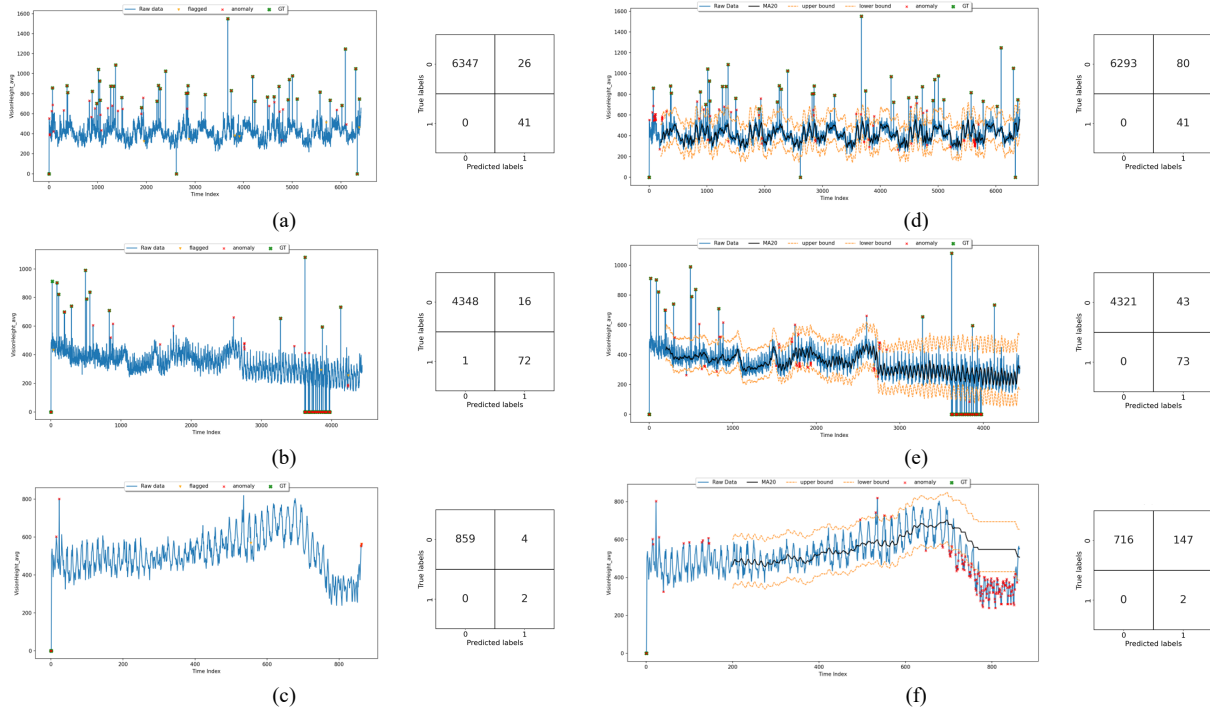


Figure 14. The visualization of the time-series of clad height at three different layers of the 5-axis impeller blade dataset by two different methods: (a-c) Differencing, ( $\rho = 7, R = 75, \tau = 7, w = 20$ ), show a rendering of raw signal (blue), point ground truth (green), point flagged (orange), and point anomaly (red); (d-f) DSPOT, ( $q = 0.001, N_{init} = 200, w = 20$ ), show a rendering of raw signal (blue), moving average (black), lower and upper bounds (orange), point ground truth (green), and point anomaly (red); of blade #0 (layer 1), blade #180 (layer 2), and blade #324 (layer 94), respectively.

### 5. CONCLUSION

During the LMD process, spatter can pose a significant challenge and negatively impact process stability. High-frequency spatters can cause signal peaks that obscure the true performance of the deposition process. A novel approach that integrates differencing and density-based methods was developed to combat this issue and simultaneously reduce noise from univariate time series data. This involves comparing two modified Moving Averages to identify potential outliers, which are then confirmed using a density-based method that considers neighbouring data points. To test the effectiveness of this approach, the study employed actual datasets of 3-axis overhanging structures with various inclination angles and 5-axis impeller blade structures. The DSPOT method was used as a benchmark, and the three-sigma approach coupled with the moving average was used to label peaks in the signal as the ground truth. The proposed method was then evaluated against twenty-seven different parameters to determine the optimal option, which proved to perform exceptionally well in various scenarios. The results revealed that our method outperformed the benchmark in all metrics, including recall, precision, f1-score and computational time. However, finding optimal parameters for different scenarios that involve high-frequency spatter at a  $10^\circ$

inclination angle remains a challenge. It was found that the proposed method with a high  $\rho$  yields higher precision when tested on the inclination angles below  $5^\circ$  but at the cost of a low recall, i.e., many missed out outliers. Nonetheless, the lower  $\rho$  would result in a slight increase in recall but at the cost of higher computation time because it flags up many potential outliers, i.e., increased sensitivity. Another challenge is handling the combination of recurrent and incremental drifts, i.e., a cyclic of a slow and gradual drift, often appearing at the  $10^\circ$  structure and impeller blade structure, i.e., complex structures. Hence, the decline in the proposed model performance, including the DSPOT method.

Moving forward, it is imperative that we thoroughly analyze and provide in-depth comparison evaluations that may affect the robustness of our method when utilizing a variety of static and adaptive window sizes with complex build structures. The generalization of static window size is found to be not robust enough for different scenarios, given the different types of concept drift that may exist, such as gradual, incremental, and recurring drifts, or even a high variance in the signal. Additionally, exploring and implementing an improvement for the forgetting mechanism could significantly increase computational speed through parallel computation.



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